



Joint Call for Proposals for Research and Innovation Projects

EUROPEAN PARTNERSHIP DRIVING URBAN TRANSITIONS

DUT Call 2022

Co-funded by the European Commission (Grant N° 101069506)

Frequently Asked Questions

1 DRIVING URBAN TRANSITIONS

GRANT AGREEMENT NO.: 101069506 — DUT

IMPORTANT DEADLINES
Submission deadline pre-proposals: 21 November 2022 at 13:00 (CET)
Submission deadline full-proposals: 3 May 2023 at 13:00 (CEST)

WT NO. / NAME: WT WITH MONITORING OF THE DUT PARTNERSHIP, ITS CALLS AND PROJETSCS

DELIVERY DUE DATE: M9

ACTUAL DATE OF SUBMISSION: M8

DISSEMINATION LEVEL: SEN

LEAD BENEFICIARY: AEI / FECYT



AUTHOR(S):

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Revisions

If this document has been updated, the changes are tracked in the table below.

Date	Update

1 Elements of the proposal

Do you have to choose one of the topics and pathways for your project? Is it possible to address multiple topics?

You will have to select a main topic to address, but may address additional topics, even from different pathways. The main topic and therefore the pathway selected must to be supported (eligible for funding) by all the Funding Agencies involved in your consortium.

Is there a minimum number of areas/sites of experimentation expected?

On the transnational level, there is no minimum requirement, but please keep in mind that impact is a main criterion for evaluation. On the national level, different requirements may apply. Please always check the national/regional eligibility requirements of each Funding Agency and are listed in Annex A of the call text.

Which costs are eligible for funding?

This depends on the national/regional eligibility requirements of each Funding Agency, which are listed in Annex A.

Is it possible that one company applies with different PIs for multiple proposals (more than 2)?

On the transnational level, there is no limit regarding this. On the national level, different requirements may apply. Please always check the national/regional eligibility requirements of each Funding Agency, which are listed in Annex A of the call text.

Does the PI need to have an academic profile, or may they also be a practitioner in the field?

On the transnational level, there is no such requirement. On the national level, different requirements may apply. Please always check the national/regional eligibility requirements of each Funding Agency, which are listed in Annex A of the call text.

Do funded partners apply only in the transnational submission system or to their national agency as well?

This depends on the national/regional rules established by each Funding Agency, which are listed in Annex A.

What is the difference between research-oriented approaches (ROA) and innovation-oriented approaches (IOA)?

The goal of a project applying ROA is to produce knowledge, analyse data and produce tools that will be useful to stakeholders, practitioners and policy-makers. IOA projects focus on developing or improving practical, operational solutions for companies, local communities and authorities. You can find more information in the call text (chapter 2.2).

May the project change between pre- and full-proposals? Who compares the proposals to check for changes?

Fundamental changes initiated by applicants will not be accepted; you can find further information in the call text in chapter 3.3. The two stages of proposals will be compared by the call secretariat.

Will a similar number of ranked projects be selected for each Transition Pathway (TP)?

Several criteria will be taken into account, including of course the TP, the approach, but also success rates et cetera. You can find more information in the call text (Chapter 4.2).

Are applicants required to upload LOI from non-funded partners?

LOI are not required until Stage 2, and they are only required from cooperation partners.

What is the maximum possible duration for a project?

As stated in the call text (chapter 3.4), projects may be funded for a maximum of 36 months.

Is the pre-proposal template available on the website?

Yes, it can be found on the [call website](#) ("Documents").

How will these criteria be applied? E.g., if country A excludes companies and country B demands at least one company, would this mean that partners from countries A and B cannot submit any projects because they could never fulfil this criterion?

The national criteria only apply to the country and its applicants. So no company from country A can be funded, but from country B. Companies from country A could also join as cooperation partners (self-funded partners).

2 The Consortium

I would like to participate in the call but I'm not part of a consortium yet. Is there a way to look for partner organizations?

We offer a [matchmaking platform](#) for that purpose and are organizing a second Info Day, on the 18th of October, where there will be room for entities looking for consortium and consortiums looking for partners.

What are the minimum requirements for the consortium?

The consortium must consist of a minimum of three partners from at least three partner countries – only transnational projects will be funded. In these countries, local stakeholders should be involved and solutions should be developed and tested. In addition, at least two eligible applicants within a consortium must be from different [EU Member States](#) or Associated Countries (e.g. Norway, Turkey). Switzerland is currently not an Associated Country in Horizon Europe. Concerning the state of the United Kingdom in this call, we hope to update this FAQ soon.

Which organizations are eligible for funding?

This depends on the national/regional eligibility requirements of each Funding Agency, which are listed in Annex A.

Is it possible to include other cooperation partners, such as third party partners or affiliated entities? Do these partners have to be from countries participating in the call?

It is possible to include partner organisations that cannot or do not want to receive funding, even from countries not participating in the call. Subcontracting to third parties depends on national/regional rules. Please see Annex A in the call text for further information and contact your Funding Agency.

What is a principal investigator (PI)?

PIs are the persons acting as lead investigators for a main or co-applicant. You can find further information in the call text and on the [call website](#).

Should projects include quadruple helix collaborations?

This is not mandatory, but desirable.

What would happen if the principal applicant or a partner changes their affiliation after a project has been funded? Would it be possible to transfer the project to the remaining institution?

If the involved Funding Agencies agree, a transfer is possible.

Have you checked for potential contradictory requirements from national agencies that might make some consortia impossible? Let's say the Funding Agency in country A does not fund IOA and the agency in country B does not fund ROA, or the agency in country A does not fund circularity and the agency in country B does not fund energy districts; or the agency in country A demands a different max. project duration than in country B? Can such things happen (even if unlikely)? Or are national agencies strictly looking at eligibility of individual partners?

Due to the number of Funding Agencies involved and the number of topics covered, partners in certain consortia constructs are not eligible to receive funding. To give an example: An Austrian organization cannot receive funding in a project that has selected a CUE pathway topic as main topic, but may be part of the project as a cooperation partner.

Since DUT is under the Horizon Europe framework, can someone from Switzerland be the main applicant?

Yes, but please check Annex A in the call text for additional Swiss requirements.

3 Call topics

Do you have to choose one of the topics and pathways for your project? Is it possible to address multiple topics?

You will have to select a main topic to address, but may address additional topics. The main topic and therefore the pathway selected must to be supported (eligible for funding) by all the Funding Agencies involved in your consortium.

What is a Positive Energy District?

A Positive Energy District can have different physical system boundaries. The basic idea is to work with connected buildings and address urban neighbourhoods; the concrete definition of the area depends on the local context.

Are there any projects planned for energy efficiency of historical buildings?

Yes, projects of that nature are included in the call topic PED, specifically in subtopic 3.

Would a project focusing on digital solutions for 15-minute cities be eligible for the call topic 15mC?

Yes, digital solutions can definitely play a (key) part in a project focusing on the 15mC topic.

Does the call topic 15mC, specifically subtopic 2, only focus on remote low-density areas, or are central or nearby areas also included?

When thinking about the 15mC, we want to highlight low-density neighbourhoods and redistribution of public space as we still see the need to elaborate new approaches, transfer good practice and scale it.

Is there a minimum size of city (definition of urban?) when working with actions related to the 15-min city?

There is no formal threshold set, but remember that DUT is focusing on urban transitions. It is up to the consortium to elaborate the relevance of their project for that topic.

Are pilot projects eligible for CUE topics?

Urban innovation and implementation type projects are eligible on a transnational level. On the national level, it depends on your national/regional Funding Agency. Different Funding Agencies support different topics, research types and organisation types. The relevant information can be found in Annex A of the call text. We also suggest to always contact your national/regional Funding Agency.

Would geothermal heating of houses be eligible for the CUE subtopic Nature-Based Solutions?

Yes, it is a kind of NBS. You can also integrate that aspect in a PED topic (esp. PED topics 1 and 2).

For projects focusing on the call topic CUE: Is social innovation included in the definition of innovation?

Yes, it most definitely is.

Can housing be understood as an urban resource?

Yes, and as such it is relevant both in a sharing economy sense (different ways to share urban dwellings and buildings) and a circular economy sense (the circulation of housing materials).

Would projects revolving around urban soil/subsoil issues in sustainable city planning be eligible? The word 'soil' is absent from the call document.

While soil is not explicitly mentioned in the call text, this is not to say that projects addressing different kind of urban soil issues are not welcome to apply. For example, soil projects might make a good fit for the subtopic of Nature-Based Solutions, depending of course on focus and scope.

4 Funding

Are budgets split evenly between the TP/approach ranking lists?

Most Funding Agency have a budget encompassing the entire call and will allocate funds dynamically, depending on the projects chosen. See Annex A for further, detailed information.

What is the budget for this call?

The total national budget is over EUR 70 million, though distribution will vary between countries. The EC will support the Partnership and its first two calls with up to EUR 37 million.

Which countries are eligible for EC co-funding?

You may find further information in chapter 4.1 in the call text.

What is the funding rate of this call?

The funding rates differ from Funding Agency to Funding Agency and are found in Annex A, in the call text.

How many projects will be funded?

This differs from country to country. See Annex A in the call text for further, detailed information and an estimate for each Funding Agency.

5 Other

Are the slides presented at the first info day available on the website?

The slides are available on our [website](#).

What is the estimated ratio of pre-proposals to proposals that will be elected to proceed to Stage 2 and proposals that will ultimately be funded?

As this is the first DUT call, we do not have an estimate yet; it will depend on the number of submitted pre-proposals as well as their quality.

Will there be more than one DUT call and when? How will the budgets develop?

There will be annual DUT Calls, Call 2 is planned to open 2023. Future budgets will may be similar to the current call.

There is a UK government guarantee at the moment for UK partners in successful applications to Horizon Europe Calls. Will this not apply to DUT?

DUT projects will mainly be funded through national/regional funds.

Are Swiss partners eligible for funding?

Swiss partners may be funded by one of the three Swiss Funding Agencies participating in the call, see Annex A in the call text.

Where can an organization register to receive a PIC number?

We encourage you to follow these steps:

1. [check if your organization is already registered](#)
2. if it is not already registered, [register your organization](#)

The EC also provides [additional instructions](#) that you may find helpful.

What is the role cities will play in this call?

Within the boundaries of national and regional rules (found in Annex A in the call text), a city may take any role in a project. In previous JPI Urban Europe calls, these roles varied highly from project to project.

How are experts recruited for the evaluation process?

Experts are suggested by the involved Funding Agencies, and selected on basis of the proposals submitted and in accordance with the requirements stated in the call text and set forth by the EC.

Are running projects presented on the webpage? It would be great to see more examples.

Yes, previous and ongoing projects are available and searchable by call, country or type on the [JPI Urban Europe website](#). Statistics on previous JPI Urban Europe calls may be found [here](#).

My country is not listed among the participating countries. Am I eligible to apply to participate in this call?

Organisations from countries not listed as participating countries may be included as cooperation partners, but they will not receive funding from the Funding Agencies participating in this call. Further information can be found in the call text on page 23.